



sector 4

VPI/95-09 DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not yet assigned
Group Art Unit : 1643
Applicants : David M. Armistead et al.
Serial No. : 09/431,469
Filed : November 1, 1999
For : MOLECULES COMPRISING A CALCINEURIN-LIKE
BINDING POCKET AND ENCODED DATA STORAGE
MEDIUM CAPABLE OF GRAPHICALLY DISPLAYING
THEM

New York, New York
March 2, 2000

Hon. Assistant Commissioner
for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☒ a Response to Notice To
File Missing Parts; ☒ Petition for Extension of Time (in
duplicate); ☒ Request of Small Entity Status; ☒ Verified
Statement of Small Entity Status; to be filed in the above-
identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

[] A fee for additional claims is required.

The additional fee has been calculated as shown below:

| CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | ADDITIONAL FEES |
|---|---|------------------|-----------|--------------------|
| TOTAL CLAIMS | - | * = 0 | X \$11 = | \$0 |
| INDEPENDENT CLAIMS | - | ** = 0 | X \$41 = | \$0 |
| FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM | | | + \$135 = | \$0 |

* If less than 20, insert 20.

** If less than 3, insert 3.

TOTAL \$

[] A check in the amount of \$_____ in payment of the filing fee is transmitted herewith.

[X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. \$ 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

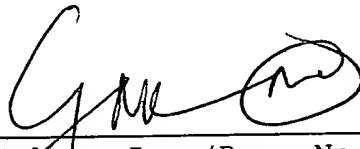
EXTENSION FEE

☒ The following extension is applicable to the Response filed herewith; ☒ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$380.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$870.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,360.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); \$1,850.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

☒ A check in the amount of ☒ \$110.00; ☐ \$380.00; ☐ \$870.00; ☐ \$1,360.00; ☐ \$1,850.00 in payment of the extension fee is transmitted herewith.

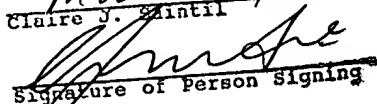
☒ The Commissioner is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge the ☐ \$110.00; ☐ \$380.00; ☐ \$870.00; ☐ \$1,360.00; ☐ \$1,850.00; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.



James F. Haley, Jr. (Reg. No. 27,794)
Attorney for Applicants
Nandakumar Govindaswamy
(Limited Recognition)
Agent for Applicants
c/o FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

I Hereby Certify that this
Correspondence is being
Deposited with the U.S.
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Class Mail in an Envelope
Addressed to: ASSISTANT
COMMISSIONER FOR
PATENTS
WASHINGTON, D.C. 20231 on

March 2, 2000
Claire J. Mintil

Signature of Person Signing



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New York, New York
March 2, 2000

Hon. Assistant Commissioner
for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Sir:

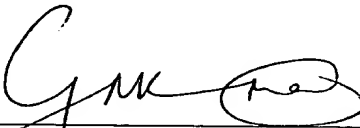
In response to the December 6, 1999 Notice To
File Missing Parts of Application in the above-identified
application, applicants enclose the following:

- 1) a copy of the Notice To File Missing Parts; and
- 2) a check in the amount of \$1516.00.



The Commissioner is hereby authorized to charge

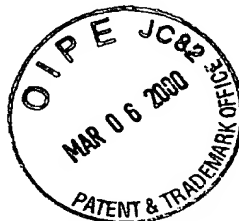
any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1075. A duplicate copy of this Response is enclosed herewith.

Respectfully submitted,


James F. Haley, Jr. (Reg. No. 27,794)
Attorney for Applicants
Nandakumar Govindaswamy
(Limited Recognition)
Agent for Applicants
c/o FISH & NEAVE
1251 Avenue of the Americas
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New York, New York
March 2, 2000

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Washington, D.C. 20231

REQUEST UNDER 37 C.F.R. § 1.27
FOR SMALL ENTITY STATUS AND REQUEST
UNDER 37 C.F.R. § 1.28(a) FOR REFUND

Sir:

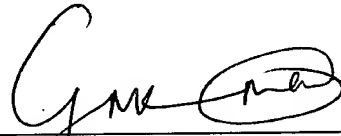
Pursuant to 37 C.F.R. § 1.27, applicant hereby requests that small entity status be established in the above-identified patent application. A verified statement to establish small entity status under 37 C.F.R. §§ 1.9(f) and 1.27(c) is concurrently filed herewith.

Applicant has paid the full filing fee of \$760.00 and additional claim fees of \$626 as other than a small entity, and the surcharge of \$130.00. Pursuant to 37 C.F.R. § 1.28(a), applicant hereby requests a refund of one-half of

the filing fee and additional claims fees paid as other than a small entity. Applicant believes that the amount of the refund will be \$693.00. Please credit this refund to Deposit Account No. 06-1075.

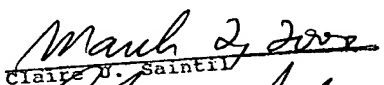
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this Request is enclosed herewith.

Respectfully submitted,



James F. Haley, Jr. (Reg. No. 27,794)
Attorney for Applicants
Nandakumar Govindaswamy
(Limited Recognition)
Agent for Applicants
c/o FISH & NEAVE
1251 Avenue of the Americas
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Tel.: (212) 596-9000

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COMMISSIONER FOR
PATENTS
WASHINGTON, D.C. 20231 on


Claire U. Saintil


Signature of Person Signing



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

00/431,469

11/01/99

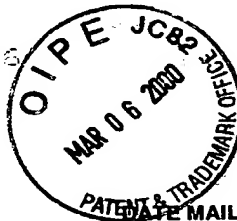
ARNSTEAD

0

VP1/95-09-D2

JAMES F. HALEY JR
FISH & NEAVE
1251 AVENUE OF THE AMERICAS
NEW YORK NY 10020-1104

0222/1206



NOT ASSIGNED

1543

12/06/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 1516.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 54 for 3 total claims over 20.

\$ 312 for 4 independent claims over 3.

\$ 760 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

E. Burns
Customer Service Center
Initial Patent Examination Division (703) 308-1202

03/09/2000 MSHIFERA 00000014 09431469

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|-----------|-----------|
| 01 FC:101 | 760.00 OP |
| 02 FC:102 | 312.00 OP |
| 03 FC:103 | 54.00 OP |
| 04 FC:104 | 260.00 OP |

| | |
|-----------|-----------|
| 05 FC:105 | 130.00 OP |
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